

## Sparse tensor edge elements

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**Abstract** We consider the tensorized operator for the Maxwell cavity source problem in frequency domain. Such formulations occur when computing statistical moments of the fields under a stochastic volume excitation. We establish a discrete inf-sup condition for its Ritz-Galerkin discretization on sparse tensor product edge element spaces built on nested sequences of meshes. Our main tool is a generalization of the edge element Fortin projector to a tensor product setting. The techniques extend to the surface boundary edge element discretization of tensorized electric field integral equation operators.

**Keywords** Sparse tensor approximation · Stochastic source problems · Maxwell cavity source problem · Edge elements · Fortin projector · Commuting diagram property

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## 1 Second moment problem

Let  $V$  be a Hilbert space and consider an isomorphism  $A : V \rightarrow V'$ . If the right hand side of the operator equation  $Au = f$  is “stochastic” in the sense that it belongs to  $L^2(\Omega, V')$  for a probability space  $(\Omega, \mathcal{A}, \mathbb{P})$ , then also the solution  $u$  becomes a  $V$ -valued square integrable random variable:  $u \in L^2(\Omega, V)$ . Its second moment  $\mathcal{M}^2 u = \mathbb{E}(u \otimes u) \in L^1(V \otimes V)$ , where  $\mathbb{E}$  denotes the expectation, can be obtained as the solution of

$$(A \otimes A)w^{(2)} = \mathcal{M}^2 f, \quad (1.1)$$

featuring the tensor product operator  $A \otimes A : V \otimes V \rightarrow V' \otimes V'$ . Well-known results guarantee existence and uniqueness of solutions of this equation; see [15, Sect. 1] for a comprehensive exposition.

A stable Ritz-Galerkin discretization of  $Au = f$  by means of a finite dimensional trial space  $V_h \subset V$  immediately spawns a stable Ritz-Galerkin discretization of (1.1), when using the “full tensor product” trial and test space  $V_h^{(2)} := V_h \otimes V_h$ . Unfortunately,  $\dim V_h^{(2)} = (\dim V_h)^2$ , whereas the approximation power of  $\dim V_h^{(2)}$  is usually not better than that of  $V_h$ . This is the notorious “curse of dimensionality”.

Taking for granted smoothness of  $\mathcal{M}^2 u$ , a remedy is offered by sparse tensor Galerkin discretization, using subspaces  $\widehat{V}_h^{(2)}$  of  $V_h^{(2)}$  with approximation power almost like that of  $V_h$ , but dimensions substantially reduced to  $\dim \widehat{V}_h^{(2)} \approx \dim V_h$ , see [15, Sect. 1.4].

However, the stability of sparse tensor Galerkin discretizations can no longer be inferred from that for  $V_h$  applied to  $A$ , unless  $A$  is positive. Non-positive operators are invariably encountered in wave propagation phenomena in frequency domain, and for them stability of the sparse tensor Galerkin discretization has to be established directly. This was done for boundary value problems for the Helmholtz equation  $-\Delta u - k^2 u = f$  in [16], see also [15, Sect. 1.4]. In the present article we are going to tackle the issue for the Maxwell cavity source problem in frequency domain and its discretization by means of edge elements.

Upon finishing the first version of this article, the authors learned about the recent report [4], which studies tensor product operators arising from mixed variational problems and their sparse tensor discretization based on discrete differential forms. The techniques employed in this parallel work are similar to ours and, in particular, the steps ①–⑦ of the proof of Theorem 5.1 given in Sect. 5.2, are also followed in the proof of [4, Lemma E.1, Erratum].

## 2 Maxwell cavity operator

From now on,  $V := H_0(\text{curl}, D)$  for a Lipschitz polyhedron  $D \subset \mathbb{R}^3$  and the operator  $A : V \rightarrow V'$  is induced by the continuous sesquilinear form

$$a(\mathbf{u}, \mathbf{v}) := (\text{curl } \mathbf{u}, \text{curl } \mathbf{v})_{L^2(D)} - k^2(\mathbf{u}, \mathbf{v})_{L^2(D)}, \quad \mathbf{u}, \mathbf{v} \in V, \quad (2.1)$$

where the wave number  $k > 0$  is supposed to be different from a resonant frequency of  $D$ , cf. [10, Ass. 1]. This guarantees that  $\mathbf{A}$  is bijective, that is, it satisfies an inf-sup-condition. As explained in [10, Sect. 5.1] the proof of this fact can make use of the  $V$ -orthogonal *Helmholtz decomposition*:<sup>1</sup>

$$\begin{aligned} V &= X \oplus Z, & Z &:= \mathbf{H}_0(\mathbf{curl} 0, D) := V \cap \ker(\mathbf{curl}), \\ & & X &\subset \mathbf{H}(\operatorname{div} 0, D) \cap \mathbf{H}_0(\mathbf{curl}, D). \end{aligned} \quad (2.2)$$

Its components are closed subspaces of  $V$  [10, Lemma 2.2], and functions in  $X$  possess extra regularity, which renders the embedding  $X \hookrightarrow (L^2(D))^3$  compact [10, Thm 4.1]. The Helmholtz decomposition induces two  $V$ -orthogonal projectors  $\mathbf{P}_X : V \rightarrow X$  and  $\mathbf{P}_Z : V \rightarrow Z$ , which enter the definition of the *sign-flipping isomorphism*, cf. [5, Ass. 1], [6, Sect. 4], [8, Sect. 4.4],

$$\boldsymbol{\Theta} := \mathbf{P}_X - \mathbf{P}_Z = 2\mathbf{P}_X - \operatorname{Id} : V \rightarrow V. \quad (2.3)$$

It is a key ingredient of the following *generalized Gårding inequality*, that asserts the existence of a compact operator  $\mathbf{K} : V \rightarrow V'$  such that

$$|\mathbf{a}(\mathbf{u}, \boldsymbol{\Theta} \mathbf{u}) + \langle \mathbf{K} \mathbf{u}, \bar{\mathbf{u}} \rangle_{V' \times V}| \geq C_{\text{stab}} \|\mathbf{u}\|_V^2 \quad \forall \mathbf{u} \in V, \quad (2.4)$$

with  $C_{\text{stab}} > 0$  depending only on  $k$  and  $D$ , see [10, (5.8)] and [5, (1.1)].

### 3 Edge element spaces

We start from a shape-regular sequence of nested tetrahedral triangulations of  $D$ :  $\mathcal{T}_0 \prec \mathcal{T}_1 \prec \dots \prec \mathcal{T}_l \prec \dots$ , for instance, created by successive global regular refinement of  $\mathcal{T}_0$ . Thus, the index  $l$  should be read as a “level of refinement”. The sequence of mesh-widths  $(h_l)_l$  of  $(\mathcal{T}_l)_l$  is supposed to decrease geometrically:

$$h_l \leq h_0 q^l \quad \text{for some } 0 < q < 1. \quad (3.1)$$

We write  $\mathcal{W}_h^1(\mathcal{T}_l)$  for the finite-dimensional space of lowest order edge elements on  $\mathcal{T}_l$  [10, Sect. 3.2] (also known as Whitney-1-forms or lowest order Nédélec elements of the first family [12]) and will often use the abbreviation  $V_l := \mathcal{W}_h^1(\mathcal{T}_l)$ . We point out that these spaces are nested in the sense that  $V_{l-1} \subset V_l$  and that they are asymptotically dense in  $V$ . Thus, the sequence  $(\mathbf{P}_l)_l$  of  $V$ -orthogonal projectors  $\mathbf{P}_l : V \rightarrow V_l$  converges to  $\operatorname{Id}$  pointwise, cf. [10, Lemma 5.5].

The spaces  $V_l$ ,  $l \in \mathbb{N}_0$ , provide an asymptotically stable Ritz-Galerkin discretization of the bilinear form  $a$  from (2.1) [10, Thm. 5.7]. As highlighted in [10, Sect. 5.2], *commuting projectors* are instrumental for the proof. They will also play a pivotal role in our considerations; we rely on particular specimens, called *Fortin projectors* [10, Sect. 4.2] introduced by D. Boffi in [3].

<sup>1</sup>We adopt the customary notations for function spaces also used in [10], for instance,  $\mathbf{H}(\operatorname{div} 0, D) := \{\mathbf{v} \in \mathbf{H}(\operatorname{div}, D) : \operatorname{div} \mathbf{v} = 0\}$ , and a zero subscript indicates vanishing trace on  $\partial \Omega$ .

To define them, let us write  $\mathcal{W}_h^{2,0}(\mathcal{T}_l) := \mathbf{curl} \mathcal{W}_h^1(\mathcal{T}_l) \subset \mathbf{H}_0(\operatorname{div} 0, D)$  and, slightly abusing notation,  $\mathcal{W}_h^{1,0}(\mathcal{T}_l) := \mathcal{W}_h^1(\mathcal{T}_l) \cap \mathbf{H}(\mathbf{curl} 0, D)$  for spaces of irrotational finite element functions. The  $L^2(D)$ -orthogonal projections onto these spaces are denoted by  $\mathbf{Q}_l : (L^2(D))^3 \rightarrow \mathcal{W}_h^{2,0}(\mathcal{T}_l)$  and  $\tilde{\mathbf{Q}}_l : (L^2(D))^3 \rightarrow \mathcal{W}_h^{1,0}(\mathcal{T}_l)$ . The fact that the  $L^2(D)$ -orthogonal *discrete Helmholtz decompositions*

$$\mathcal{W}_h^1(\mathcal{T}_l) = X_l \oplus \mathcal{W}_h^{1,0}(\mathcal{T}_l), \quad (3.2)$$

are  $l$ -uniformly  $V$ -stable [10, Thm. 4.7], guarantees the existence of  $l$ -uniformly bounded surjective lifting operators

$$\mathbf{L}_l : \mathcal{W}_h^{2,0}(\mathcal{T}_l) \rightarrow X_l \quad \text{such that} \quad \mathbf{curl} \circ \mathbf{L}_l = \operatorname{Id}. \quad (3.3)$$

Then, we define Fortin projectors<sup>2</sup>  $\mathbf{F}_l : V \rightarrow V_l$  as

$$\boxed{\mathbf{F}_l := \mathbf{L}_l \circ \mathbf{Q}_l \circ \mathbf{curl} + \tilde{\mathbf{Q}}_l}. \quad (3.4)$$

This definition of the Fortin projector agrees with the abstract commuting discrete co-chain projector constructed in the proof of Thm. 3.7 of [1]. However, the approximation properties of these operators in  $L^2$  are not discussed in [1]. The projectors inherit uniform stability from the liftings:<sup>3</sup>

$$\|\mathbf{F}_l \mathbf{u}\|_V \leq C \|\mathbf{u}\|_V \quad \forall \mathbf{u} \in V, \quad \forall l \in \mathbb{N}_0, \quad (3.5)$$

and fulfill the obvious *commuting diagram property*

$$\mathbf{curl} \circ \mathbf{F}_l = \mathbf{Q}_l \circ \mathbf{curl} \quad \text{on } V. \quad (3.6)$$

Since  $\mathbf{curl} \circ \tilde{\mathbf{Q}}_l = 0$  and  $\tilde{\mathbf{Q}}_l \circ \mathbf{L}_l = 0$ ,  $\mathbf{F}_l$  is a surjective projector:

$$\mathbf{F}_l \circ \mathbf{F}_l = \mathbf{F}_l \quad \text{and} \quad \mathbf{F}_l(\mathbf{v}_l) = \mathbf{v}_l \quad \forall \mathbf{v}_l \in \mathcal{W}_h^1(\mathcal{T}_l). \quad (3.7)$$

A deeper result about Fortin projectors is their approximation property in  $X$ :

**Lemma 3.1** *There is  $C > 0$  and some  $0 < \epsilon \leq 1$  such that*

$$\|(\operatorname{Id} - \mathbf{F}_l) \mathbf{u}^\perp\|_{L^2(D)} \leq Ch_l^\epsilon \|\mathbf{u}^\perp\|_V \quad \forall \mathbf{u}^\perp \in X, \quad \forall l \in \mathbb{N}_0. \quad (3.8)$$

*Proof* We point out that  $\tilde{\mathbf{Q}}_l(X) = \{0\}$  and  $\mathbf{L}_l \circ \mathbf{Q}_l \circ \mathbf{curl}$  agrees with the operator  $\mathbf{F}_h$  introduced in [10, (4.10)]. Then we can appeal to [10, Thm. 4.8] or the approximation results from [3].  $\square$

Fortin projectors on different levels commute:

<sup>2</sup>Our Fortin projector agrees with the operator  $\tilde{\mathbf{F}}_h$  defined on p. 311 of [10], but not the operator  $\mathbf{F}_h$  defined on p. 297 of that survey.

<sup>3</sup>As usual, generic constants will be denoted by  $C$ . They may depend only on  $D$  or the shape-regularity of the triangulations. Specific constants may be tagged with a subscript.

### Lemma 3.2

$$F_{l-1} \circ F_l = F_{l-1} = F_l \circ F_{l-1} \quad \text{for all } l \in \mathbb{N}_0.$$

*Proof* Nested meshes lead to nested spaces  $\mathcal{W}_h^{2,0}(\mathcal{T}_{l-1}) \subset \mathcal{W}_h^{2,0}(\mathcal{T}_l)$  and  $\mathcal{W}_h^{1,0}(\mathcal{T}_{l-1}) \subset \mathcal{W}_h^{1,0}(\mathcal{T}_l)$ , with the simple consequence that for the  $L^2$ -projections

$$Q_{l-1} \circ Q_l = Q_{l-1}, \quad \tilde{Q}_{l-1} \circ \tilde{Q}_l = \tilde{Q}_{l-1}, \quad \tilde{Q}_{l-1} \circ L_l = 0. \quad (3.9)$$

From  $\mathbf{curl} \circ \tilde{Q}_l = 0$  and (3.3) we conclude the assertion.  $\square$

*Remark 3.1* In [4] the authors rely on so-called commuting co-chain projectors introduced and explored in [1, 9, 14]. Those could also substitute the Fortin projectors in our theory.

## 4 Sparse tensor space

As regards to the Ritz-Galerkin discretization of (1.1) with  $\mathbf{A}$  from (2.1), a more economical finite dimensional subspaces of the full tensor edge element spaces  $V_L \otimes V_L \subset V \otimes V$ ,  $V_L := \mathcal{W}_h^1(\mathcal{T}_L)$ ,  $V = \mathbf{H}_0(\mathbf{curl}, D)$ , are the *sparse tensor edge element spaces*, cf. [16, Def. 5.1], [15, Def. 1.17], [4, Sect. 6.4],

$$\begin{aligned} \hat{V}_{L,L_0} &:= \sum_{(l,k) \in \mathcal{S}_{L,L_0}} V_l \otimes V_k, \\ \mathcal{S}_{L,L_0} &:= \{(l,k) \in \{0, \dots, L\}^2, l+k \leq L+L_0\}, \quad 0 \leq L_0 \leq L, \end{aligned} \quad (4.1)$$

of resolution  $L$  and base level  $L_0$ , see Fig. 1.

*Remark 4.1* The base level  $L_0$  ensures a minimal resolution “in both directions” in the sense that  $V_{L_0} \otimes V_L, V_L \otimes V_{L_0} \subset \hat{V}_{L,L_0}$ . As discovered in [16, Sect. 5], thus we can accommodate the minimal resolution requirement, which is typical of the stable Ritz-Galerkin discretization of coercive, but non-positive variational problems [13]. Below in Sect. 5 the possibility to adjust  $L_0$  will be crucial.

The sparse tensor space also allows a direct sum representation by means of the “surplus spaces”:

$$W_l := (F_l - F_{l-1})(V_l), \quad l \geq 1, \quad W_0 := V_0, \quad (4.2)$$

where  $F_l$  are the Fortin projectors introduced in (3.4). Thanks to Lemma 3.2  $V_L = W_0 + \dots + W_L$  is a direct splitting, which implies that

$$\hat{V}_{L,L_0} = \sum_{(l,k) \in \mathcal{S}_{L,L_0}} W_l \otimes W_k, \quad (4.3)$$

is direct, as well.



where  $\Delta Q_l := Q_l - Q_{l-1}$ ,  $l \geq 1$ ,  $\Delta Q_0 := Q_0$ . Their properties follow by similar arguments as in the proof of Lemma 4.1. Simple computations show that they commute with tensorized versions of  $\mathbf{curl}$  on  $V \otimes V$

$$(\mathbf{curl} \otimes \mathbf{curl}) \circ \widehat{F}_{L,L_0}^{(2)} = \widehat{G}_{L,L_0}^{(2)} \circ (\mathbf{curl} \otimes \mathbf{curl}), \quad (4.8)$$

$$(\text{Id} \otimes \mathbf{curl}) \circ \widehat{F}_{L,L_0}^{(2)} = \widehat{H}_{L,L_0}^{(2)} \circ (\text{Id} \otimes \mathbf{curl}), \quad (4.9)$$

$$(\mathbf{curl} \otimes \text{Id}) \circ \widehat{F}_{L,L_0}^{(2)} = \widehat{J}_{L,L_0}^{(2)} \circ (\mathbf{curl} \otimes \text{Id}). \quad (4.10)$$

## 5 Discrete inf-sup conditions

Our ultimate goal is to show that, asymptotically, the spaces  $\widehat{V}_{L,L_0}$  offer a uniformly stable Ritz-Galerkin discretization of the tensor product Maxwell operator  $A \otimes A : V \otimes V \rightarrow V' \otimes V'$  arising from (2.1) (with associated sesquilinear form  $a^{(2)}$  on  $V \otimes V$ ).

**Theorem 5.1** *There is a threshold level  $L_0 \in \mathbb{N}$  and  $C > 0$  such that*

$$\sup_{\widehat{\mathbf{v}}^{(2)} \in \widehat{V}_{L,L_0}} \frac{|a^{(2)}(\widehat{\mathbf{u}}^{(2)}, \widehat{\mathbf{v}}^{(2)})|}{\|\widehat{\mathbf{v}}^{(2)}\|_{V \otimes V}} \geq C \|\widehat{\mathbf{u}}^{(2)}\|_{V \otimes V} \quad \forall \widehat{\mathbf{u}}^{(2)} \in \widehat{V}_{L,L_0}, \quad \forall L \geq L_0. \quad (5.1)$$

*Remark 5.1* The so-called discrete inf-sup condition claimed in Theorem 5.1 directly implies the asymptotic quasi-optimality of sparse tensor Ritz-Galerkin solutions of the second moment equation (1.1) for the Maxwell operator [2]. Thus, a priori estimates can be obtained from best approximation estimates. The latter for sparse tensor finite element spaces are discussed in [15, Sect. 1.4] and they carry over to edge elements.

### 5.1 Non-tensor setting

In order to elucidate the idea behind the proof of Theorem 5.1 let us recall how to establish an asymptotic discrete inf-sup condition for  $a(\cdot, \cdot)$  from (2.1) on  $V_L$ , see [10, Sect. 5.2] for a more detailed presentation or [5, Sects. 3 & 4.1] for a more abstract treatment. We start from the generalized Gårding inequality (2.4), which reveals that, given a fixed  $\mathbf{u} \in V$ ,

$$\mathbf{c}[\mathbf{u}] := (\Theta + T)\mathbf{u}, \quad T := A^{-1}K : V \rightarrow V, \quad (5.2)$$

is a suitable “candidate function” for the continuous inf-sup condition for  $a(\cdot, \cdot)$  on  $V$  [10, (5.11)].

Now, in the discrete setting we fix  $\mathbf{u}_L \in V_L$ , pick

$$\mathbf{c}_L := F_L \Theta \mathbf{u}_L + P_L T \mathbf{u}_L \in V_L, \quad (5.3)$$

and find

$$\|\mathbf{c}_L - \mathbf{c}[\mathbf{u}_L]\|_V \leq \|(\mathbf{F}_L - \text{Id})\boldsymbol{\Theta}\mathbf{u}_L\|_V + \|(\mathbf{P}_L - \text{Id})\mathbf{T}\mathbf{u}_L\|_V. \quad (5.4)$$

Since  $\mathbf{T} : V \rightarrow V$  is compact and  $\mathbf{P}_L - \text{Id} \rightarrow 0$  pointwise for  $L \rightarrow \infty$ , we can apply [10, Lemma 5.4] to the second term, which yields uniform convergence<sup>4</sup>

$$\|(\mathbf{P}_L - \text{Id}) \circ \mathbf{T}\|_V \leq \nu(L), \quad (5.5)$$

for a sequence  $\nu : \mathbb{N}_0 \rightarrow \mathbb{R}^+$  with  $\lim_{L \rightarrow \infty} \nu(L) = 0$ .

To deal with the first term in (5.4) observe that, thanks to the commuting diagram property (3.6),  $\text{curl}(\mathbf{F}_L - \text{Id})\boldsymbol{\Theta}\mathbf{u}_L = (\mathbf{Q}_L - \text{Id})\text{curl}\boldsymbol{\Theta}\mathbf{u}_L = (\mathbf{Q}_L - \text{Id})\text{curl}\mathbf{u}_L = 0$  so that we merely need to estimate its  $L^2(D)$ -norm. Since

$$(\mathbf{F}_L - \text{Id})\boldsymbol{\Theta}\mathbf{u}_L \stackrel{(2.3)}{=} (\mathbf{F}_L - \text{Id})(2\mathbf{P}_X - \text{Id})\mathbf{u}_L \stackrel{(3.7)}{=} 2(\mathbf{F}_L - \text{Id})\mathbf{P}_X\mathbf{u}_L, \quad (5.6)$$

Lemma 3.1 gives the desired result that, with  $C_F > 0$ ,

$$\|(\mathbf{F}_L - \text{Id})\boldsymbol{\Theta}\mathbf{u}_L\|_{L^2(D)} \leq C_F h_L^\epsilon \|\mathbf{P}_X\mathbf{u}_L\|_V \leq C_F h_L^\epsilon \|\mathbf{u}_L\|_V \quad \forall L \in \mathbb{N}_0, \quad (5.7)$$

for some  $C_F > 0$  and with  $0 < \epsilon \leq 1$  from (3.8).

By (3.5) and the continuity of the other operators involved, we have  $\|\mathbf{c}_L\|_V \leq C_c \|\mathbf{u}_L\|_V$  and  $\|\mathbf{c}_L\|_V \leq C_c \|\mathbf{c}[\mathbf{u}_L]\|_V$ ,  $L \in \mathbb{N}_0$ , and combining all these estimates we obtain

$$\begin{aligned} \sup_{\mathbf{v}_L \in V_L} \frac{|a(\mathbf{u}_L, \mathbf{v}_L)|}{\|\mathbf{v}_L\|_V} &\geq \frac{1}{C_c} \left( \frac{|a(\mathbf{u}_L, \mathbf{c}[\mathbf{u}_L])|}{\|\mathbf{c}[\mathbf{u}_L]\|_V} - \|\mathbf{A}\|_{V \rightarrow V'} \|\mathbf{c}_L - \mathbf{c}[\mathbf{u}_L]\|_V \right) \\ &\geq \frac{1}{C_c} (C_{\text{stab}} - \|\mathbf{A}\|_{V \rightarrow V'} (\nu(L) + C_F h_L^\epsilon)) \|\mathbf{u}_L\|_V, \end{aligned} \quad (5.8)$$

and, by (3.1), the discrete inf-sup conditions follows when  $L$  is sufficiently large.

## 5.2 Tensorized setting

*Proof of Theorem 5.1* Let us emulate the policy of Sect. 5.1 for the tensor product operator. As before, initially we fix a “discrete” function  $\widehat{\mathbf{u}}^{(2)} \in \widehat{V}_{L,L_0}$  in the sparse tensor product trial space. The corresponding “candidate function” that realizes the continuous inf-sup condition for  $\mathbf{A} \otimes \mathbf{A} : V \otimes V \rightarrow (V \otimes V)'$  is

$$\mathbf{c}^{(2)}[\widehat{\mathbf{u}}^{(2)}] = ((\boldsymbol{\Theta} + \mathbf{T}) \otimes (\boldsymbol{\Theta} + \mathbf{T}))\widehat{\mathbf{u}}^{(2)} \in V \otimes V, \quad (5.9)$$

cf. the proof of [16, Thm. 5.2]. As above, we have to apply suitable projectors to this function, in order to map it into  $\widehat{V}_{L,L_0}$ , and, again as in Sect. 5.1, we may apply

<sup>4</sup>For linear operators  $V \rightarrow V$  we retain the notation  $\|\cdot\|_V$  for their norm. The norms of more general linear operators mapping between normed spaces  $X \rightarrow Y$  will bear a subscript  $X \rightarrow Y$ :  $\|\cdot\|_{X \rightarrow Y}$ .



different projectors to different terms, and, as above, commuting diagrams for some of the projectors will prove essential. In detail, we start with the splitting

$$\mathbf{c}^{(2)}[\hat{\mathbf{u}}^{(2)}] = (\boldsymbol{\Theta} \otimes \boldsymbol{\Theta})\hat{\mathbf{u}}^{(2)} + (\boldsymbol{\Theta} \otimes \mathbf{T})\hat{\mathbf{u}}^{(2)} + (\mathbf{T} \otimes \boldsymbol{\Theta})\hat{\mathbf{u}}^{(2)} + (\mathbf{T} \otimes \mathbf{T})\hat{\mathbf{u}}^{(2)}. \quad (5.10)$$

The last three terms can be tackled along the lines of the proof of [16, Thm. 5.2], whereas for the first we have to resort to the particular sparse tensor Fortin projector  $\widehat{\mathbf{F}}_{L,L_0}^{(2)}$  introduced in (4.4); we try the “discrete candidate function”

$$\begin{aligned} \widehat{\mathbf{c}}^{(2)} := & \widehat{\mathbf{F}}_{L,L_0}^{(2)}(\boldsymbol{\Theta} \otimes \boldsymbol{\Theta})\hat{\mathbf{u}}^{(2)} + (\mathbf{F}_{L_0} \otimes \mathbf{P}_L)(\boldsymbol{\Theta} \otimes \mathbf{T})\hat{\mathbf{u}}^{(2)} \\ & + (\mathbf{P}_L \otimes \mathbf{F}_{L_0})(\mathbf{T} \otimes \boldsymbol{\Theta})\hat{\mathbf{u}}^{(2)} + (\mathbf{P}_L \otimes \mathbf{P}_{L_0})(\mathbf{T} \otimes \mathbf{T})\hat{\mathbf{u}}^{(2)}. \end{aligned} \quad (5.11)$$

Now we reap the benefit of the base resolution  $L_0$  in the definition (4.1) of the sparse tensor edge element space  $\widehat{\mathbf{V}}_{L,L_0}$ , because it ensures both

$$\mathbf{V}_{L_0} \otimes \mathbf{V}_L \subset \widehat{\mathbf{V}}_{L,L_0} \quad \text{and} \quad \mathbf{V}_L \otimes \mathbf{V}_{L_0} \subset \widehat{\mathbf{V}}_{L,L_0}, \quad (5.12)$$

see Fig. 1, which implies that (5.11) actually defines a function  $\widehat{\mathbf{c}}^{(2)} \in \widehat{\mathbf{V}}_{L,L_0}$ . Remember the arguments underlying (5.8);  $\widehat{\mathbf{c}}^{(2)} \in \widehat{\mathbf{V}}_{L,L_0}$  provides a suitable candidate function for the discrete inf-sup condition, if we manage to show

$$\|\widehat{\mathbf{c}}^{(2)} - \mathbf{c}^{(2)}[\hat{\mathbf{u}}^{(2)}]\|_{V \otimes V} \leq \nu(L_0) \|\hat{\mathbf{u}}^{(2)}\|_{V \otimes V}, \quad (5.13)$$

with a sequence  $\nu : \mathbb{N}_0 \rightarrow \mathbb{R}^+$  that is independent of  $\hat{\mathbf{u}}^{(2)}$  and converges to 0. This amounts to estimating four different projection errors.

We deal with all terms in (5.11) involving the compact operator  $\mathbf{T}$  in the spirit of [16, Sect. 5] and begin by noting that, for instance,

$$\begin{aligned} & (\text{Id} \otimes \text{Id} - \mathbf{F}_{L_0} \otimes \mathbf{P}_L) \circ (\boldsymbol{\Theta} \otimes \mathbf{T}) \\ &= ((\text{Id} - \mathbf{F}_{L_0}) \circ \boldsymbol{\Theta}) \otimes \mathbf{T} + (\mathbf{F}_{L_0} \circ \boldsymbol{\Theta}) \otimes ((\text{Id} - \mathbf{P}_L) \circ \mathbf{T}). \end{aligned}$$

Therefore, as all operators are bounded in  $V$  and the norm of a tensor product of operators is bounded by the product of their norms, we can estimate

$$\begin{aligned} & \|(\text{Id} \otimes \text{Id} - \mathbf{F}_{L_0} \otimes \mathbf{P}_L) \circ (\boldsymbol{\Theta} \otimes \mathbf{T})\|_{V \otimes V} \\ & \leq \underbrace{\|(\text{Id} - \mathbf{F}_{L_0}) \circ \boldsymbol{\Theta}\|_V}_{\rightarrow 0 \text{ by (5.7)}} \|\mathbf{T}\|_V + \|\mathbf{F}_{L_0}\|_V \|\boldsymbol{\Theta}\|_V \underbrace{\|(\text{Id} - \mathbf{P}_L) \circ \mathbf{T}\|_V}_{\rightarrow 0 \text{ by (5.5)}} \rightarrow 0 \end{aligned}$$

for  $L_0, L \rightarrow \infty$ .

It remains to examine the  $V \otimes V$ -norm of

$$\begin{aligned} & ((\text{Id} \otimes \text{Id}) - \widehat{\mathbf{F}}_{L,L_0}^{(2)})(\boldsymbol{\Theta} \otimes \boldsymbol{\Theta})\hat{\mathbf{u}}^{(2)} \\ & \stackrel{(2.3)}{=} 4((\text{Id} \otimes \text{Id}) - \widehat{\mathbf{F}}_{L,L_0}^{(2)})(\mathbf{P}_X \otimes \mathbf{P}_X)\hat{\mathbf{u}}^{(2)} \end{aligned} \quad (5.14a)$$

$$- 2((\text{Id} \otimes \text{Id}) - \widehat{\mathbf{F}}_{L,L_0}^{(2)})(\text{Id} \otimes \mathbf{P}_X)\hat{\mathbf{u}}^{(2)} \quad (5.14b)$$

$$- 2((\text{Id} \otimes \text{Id}) - \widehat{\mathbf{F}}_{L, L_0}^{(2)})(\mathbf{P}_X \otimes \text{Id})\widehat{\mathbf{u}}^{(2)} \quad (5.14c)$$

$$+ \underbrace{((\text{Id} \otimes \text{Id}) - \widehat{\mathbf{F}}_{L, L_0}^{(2)})(\text{Id} \otimes \text{Id})\widehat{\mathbf{u}}^{(2)}}_{=0!}, \quad (5.14d)$$

where we used  $\Theta = 2\mathbf{P}_X - \text{Id}$  from (2.3). Pay attention that the last term vanishes due to the projector property of  $\widehat{\mathbf{F}}_{L, L_0}^{(2)}$ .

Estimating the  $V \otimes V$ -norm of the other terms turns out to be challenging. To begin with, remember that this norm comprises four parts

$$\|\mathbf{v}^{(2)}\|_{V \otimes V}^2 = \|(\mathbf{curl} \otimes \mathbf{curl})\mathbf{v}^{(2)}\|_{L^2(D) \otimes L^2(D)}^2 \quad (5.15a)$$

$$+ \|(\mathbf{curl} \otimes \text{Id})\mathbf{v}^{(2)}\|_{L^2(D) \otimes L^2(D)}^2 \quad (5.15b)$$

$$+ \|(\text{Id} \otimes \mathbf{curl})\mathbf{v}^{(2)}\|_{L^2(D) \otimes L^2(D)}^2 \quad (5.15c)$$

$$+ \|(\text{Id} \otimes \text{Id})\mathbf{v}^{(2)}\|_{L^2(D) \otimes L^2(D)}^2. \quad (5.15d)$$

Inevitably, we have to examine the various combinations of terms in (5.14a)–(5.14d) and contributions to the norm in (5.15a)–(5.15d). Inherent symmetries make several of them amenable to the same arguments and we are going to skip parallel developments.

❶ (5.14a) & (5.15d): With convergence of the infinite sum understood pointwise in  $V \otimes V$ , we have the error representation

$$(\text{Id} \otimes \text{Id}) - \widehat{\mathbf{F}}_{L, L_0}^{(2)} = \sum_{(l,k) \notin \mathcal{S}_{L, L_0}} \Delta F_l \otimes \Delta F_k, \quad (5.16)$$

which we conclude from the direct sum decomposition of  $V$ :  $\mathbf{u} = \sum_{l=0}^{\infty} \Delta F_l \mathbf{u}$ ,  $\mathbf{u} \in V$ , along with the pointwise convergence  $F_l \rightarrow \text{Id}$  for  $l \rightarrow \infty$  [10, Lemma 5.5].

$$\begin{aligned} & \left\| \sum_{(l,k) \notin \mathcal{S}_{L, L_0}} (\Delta F_l \otimes \Delta F_k)(\mathbf{P}_X \otimes \mathbf{P}_X)\widehat{\mathbf{u}}^{(2)} \right\|_{L^2(D) \otimes L^2(D)} \\ &= \left\| \sum_{(l,k) \notin \mathcal{S}_{L, L_0}} ((\Delta F_l \circ \mathbf{P}_X) \otimes (\Delta F_k \circ \mathbf{P}_X))\widehat{\mathbf{u}}^{(2)} \right\|_{L^2(D) \otimes L^2(D)} \\ &\stackrel{\text{Lemma 3.1}}{\leq} C \sum_{(l,k) \notin \mathcal{S}_{L, L_0}} h_l^\epsilon h_k^\epsilon \|\widehat{\mathbf{u}}^{(2)}\|_{V \otimes V} \stackrel{(3.1)}{\leq} Ch_0^{2\epsilon} \|\widehat{\mathbf{u}}^{(2)}\|_{V \otimes V} \underbrace{\sum_{(l,k) \notin \mathcal{S}_{L, L_0}} q^{\epsilon(l+k)}}_{\rightarrow 0 \text{ for } L \rightarrow \infty}. \end{aligned}$$

❷ (5.14a) & (5.15b): The commuting diagrams underlying (4.8) is key to handling this contribution, because they pave the way for reformulating

$$(\mathbf{curl} \otimes \text{Id}) \circ (\text{Id} \otimes \text{Id} - \widehat{\mathbf{F}}_{L, L_0}^{(2)}) \circ (\mathbf{P}_X \otimes \mathbf{P}_X)\widehat{\mathbf{u}}^{(2)}$$

$$\begin{aligned}
 &\stackrel{(A)}{=} \sum_{\substack{(l,k) \notin \mathcal{S}_{L,L_0} \\ l \leq L}} ((\Delta Q_l \circ \mathbf{curl}) \otimes (\Delta F_k \circ P_X)) \widehat{\mathbf{u}}^{(2)} \\
 &\stackrel{(B)}{=} \sum_{l=0}^L \sum_{k=1+L_0+L-l}^{\infty} ((\Delta Q_l \circ \mathbf{curl}) \otimes (\Delta F_k \circ P_X)) \widehat{\mathbf{u}}^{(2)} \\
 &\stackrel{(C)}{=} \sum_{l=0}^L ((\Delta Q_l \circ \mathbf{curl}) \otimes ((\text{Id} - F_{L_0+L-l}) \circ P_X)) \widehat{\mathbf{u}}^{(2)}.
 \end{aligned}$$

The identity (A) arises from using (4.8),  $\mathbf{curl} \circ P_X = \mathbf{curl}$ , together with an error representation analogous to (5.16). Moreover, the extra restriction  $l \leq L$  on the index range results from the trivial fact that  $(\mathbf{curl} \otimes P_X) \widehat{\mathbf{u}}^{(2)} \in \mathcal{W}_h^{2,0}(\mathcal{T}_L) \otimes V$  and  $\Delta Q_l(\mathcal{W}_h^{2,0}(\mathcal{T}_L)) = 0$ , whenever  $l > L$ . Identity (B) is a consequence of the definition of  $\mathcal{S}_{L,L_0}$ , and (C) reflects a telescopic sum. Invoking (5.7) we obtain

$$\begin{aligned}
 &\|(\mathbf{curl} \otimes \text{Id}) \circ (\text{Id} \otimes \text{Id} - \widehat{F}_{L,L_0}^{(2)}) \circ (P_X \otimes P_X) \widehat{\mathbf{u}}^{(2)}\|_{L^2(D) \otimes L^2(D)} \\
 &\leq \sum_{l=0}^L \underbrace{\|\Delta Q_l \circ \mathbf{curl}\|_{V \rightarrow L^2(D)}}_{\leq 1} \underbrace{\|(\text{Id} - F_{L_0+L-l}) \circ P_X\|_{V \rightarrow L^2(D)}}_{\text{apply (5.7)}} \|\widehat{\mathbf{u}}^{(2)}\|_{V \otimes V} \\
 &\leq C \|\widehat{\mathbf{u}}^{(2)}\|_{V \otimes V} \underbrace{\left( \sum_{l=L_0}^L h_l^\epsilon \right)}_{\rightarrow 0 \text{ for } L_0 \rightarrow \infty}.
 \end{aligned}$$

The next term (5.14a) & (5.15c) has a similar structure and can be treated alike.

③ The final term (5.14a) & (5.15a) is killed by the commuting diagram property (4.8):

$$\begin{aligned}
 &(\mathbf{curl} \otimes \mathbf{curl})(\text{Id} \otimes \text{Id} - \widehat{F}_{L,L_0}^{(2)})(P_X \otimes P_X) \widehat{\mathbf{u}}^{(2)} \\
 &= (\text{Id} \otimes \text{Id} - \widehat{G}_{L,L_0}^{(2)})(\mathbf{curl} \otimes \mathbf{curl})(P_X \otimes P_X) \widehat{\mathbf{u}}^{(2)} \\
 &= (\text{Id} \otimes \text{Id} - \widehat{G}_{L,L_0}^{(2)})(\mathbf{curl} \otimes \mathbf{curl}) \widehat{\mathbf{u}}^{(2)} = 0,
 \end{aligned}$$

thanks to the projector property of  $\widehat{G}_{L,L_0}^{(2)}$ , see (4.5).

④ (5.14c) & (5.15d): We start from the error representation (5.16) and, as in Step (②), continue with the identity

$$\begin{aligned}
 (\text{Id} \otimes \text{Id} - \widehat{F}_{L,L_0}^{(2)})(P_X \otimes \text{Id}) \widehat{\mathbf{u}}^{(2)} &= \sum_{(l,k) \notin \mathcal{S}_{L,L_0}} (\Delta F_l \otimes \Delta F_k)(P_X \otimes \text{Id}) \widehat{\mathbf{u}}^{(2)} \\
 &= \sum_{\substack{(l,k) \notin \mathcal{S}_{L,L_0} \\ k \leq L}} ((\Delta F_l \circ P_X) \otimes \Delta F_k) \widehat{\mathbf{u}}^{(2)}
 \end{aligned}$$

$$\begin{aligned}
&= \sum_{k=0}^L \sum_{l=1+L_0+k-L}^{\infty} ((\Delta F_l \circ P_X) \otimes \Delta F_k) \hat{\mathbf{u}}^{(2)} \\
&= \sum_{k=0}^L (((\text{Id} - F_{L_0+k-L}) \circ P_X) \otimes \Delta F_k) \hat{\mathbf{u}}^{(2)}.
\end{aligned}$$

Combined with (5.7) it yields the bound

$$\begin{aligned}
&\|(\text{Id} \otimes \text{Id} - \hat{F}_{L,L_0}^{(2)})(P_X \otimes \text{Id}) \hat{\mathbf{u}}^{(2)}\|_{L^2(D) \otimes L^2(D)} \\
&\leq \sum_{k=0}^L \underbrace{\|(\text{Id} - F_{L_0+L-k}) \circ P_X\|_{V \rightarrow L^2(D)}}_{\text{apply (5.7)}} \underbrace{\|\Delta F_k\|_V}_{\text{bounded}} \|\hat{\mathbf{u}}^{(2)}\|_{V \otimes V} \\
&\leq C \|\hat{\mathbf{u}}^{(2)}\|_{V \otimes V} \underbrace{\sum_{k=L_0}^{L_0+L} h_k^\epsilon}_{\rightarrow 0 \text{ for } L_0 \rightarrow \infty}.
\end{aligned}$$

⑤ (5.14c) & (5.15c): Here we use the commuting diagram behind (4.9) and, as in Step (2), get

$$\begin{aligned}
&(\text{Id} \otimes \mathbf{curl})(\text{Id} \otimes \text{Id} - \hat{F}_{L,L_0}^{(2)})(P_X \otimes \text{Id}) \hat{\mathbf{u}}^{(2)} \\
&= \sum_{\substack{(l,k) \notin S_{L,L_0} \\ k \leq L}} ((\Delta F_l \circ P_X) \otimes (\Delta Q_k \circ \mathbf{curl})) \hat{\mathbf{u}}^{(2)} \\
&= \sum_{k=0}^L \sum_{l=1+L_0+L-k}^{\infty} ((\Delta F_l \circ P_X) \otimes (\Delta Q_k \circ \mathbf{curl})) \hat{\mathbf{u}}^{(2)} \\
&= \sum_{k=0}^L (((\text{Id} - F_{L_0+L-k}) \circ P_X) \otimes (\Delta Q_k \circ \mathbf{curl})) \hat{\mathbf{u}}^{(2)}
\end{aligned}$$

As before, this permits us to continue

$$\begin{aligned}
&\|(\text{Id} \otimes \mathbf{curl})(\text{Id} \otimes \text{Id} - \hat{F}_{L,L_0}^{(2)})(P_X \otimes \text{Id}) \hat{\mathbf{u}}^{(2)}\|_{L^2(D) \otimes L^2(D)} \\
&\leq \sum_{k=0}^L \underbrace{\|(\text{Id} - F_{L_0+L-k}) \circ P_X\|_{V \rightarrow L^2(D)}}_{\text{apply (5.7)}} \underbrace{\|\Delta Q_k \circ \mathbf{curl}\|_{V \rightarrow L^2(D)}}_{\leq 1} \|\hat{\mathbf{u}}^{(2)}\|_{V \otimes V} \\
&\leq C \|\hat{\mathbf{u}}^{(2)}\|_{V \otimes V} \underbrace{\sum_{k=L_0}^{L_0+L} h_k^\epsilon}_{\rightarrow 0 \text{ for } L_0 \rightarrow \infty}.
\end{aligned}$$

⑥ (5.14c) & (5.15b): We recall the commuting diagram (4.8), which delivers

$$\begin{aligned} & (\mathbf{curl} \otimes \text{Id})(\text{Id} \otimes \text{Id} - \widehat{\mathbf{F}}_{L,L_0}^{(2)})(P_X \otimes \text{Id})\widehat{\mathbf{u}}^{(2)} \\ &= (\text{Id} \otimes \text{Id} - \widehat{\mathbf{J}}_{L,L_0}^{(2)})(\mathbf{curl} \otimes \text{Id})(P_X \otimes \text{Id})\widehat{\mathbf{u}}^{(2)} \\ &= (\text{Id} \otimes \text{Id} - \widehat{\mathbf{J}}_{L,L_0}^{(2)})(\mathbf{curl} \otimes \text{Id})\widehat{\mathbf{u}}^{(2)} = 0, \end{aligned}$$

due to the projector property of  $\widehat{\mathbf{J}}_{L,L_0}^{(2)}$ , see (4.7).

⑦ (5.14c) & (5.15a): Here we rely on (4.8) and get

$$\begin{aligned} & (\mathbf{curl} \otimes \mathbf{curl})(\text{Id} \otimes \text{Id} - \widehat{\mathbf{F}}_{L,L_0}^{(2)})(P_X \otimes \text{Id})\widehat{\mathbf{u}}^{(2)} \\ &= (\text{Id} \otimes \text{Id} - \widehat{\mathbf{G}}_{L,L_0}^{(2)})(\mathbf{curl} \otimes \mathbf{curl})(P_X \otimes \text{Id})\widehat{\mathbf{u}}^{(2)} \\ &= (\text{Id} \otimes \text{Id} - \widehat{\mathbf{G}}_{L,L_0}^{(2)})(\mathbf{curl} \otimes \mathbf{curl})\widehat{\mathbf{u}}^{(2)} = 0, \end{aligned}$$

where we used that  $\widehat{\mathbf{G}}_{L,L_0}^{(2)}$  is a surjective projector onto  $(\mathbf{curl} \otimes \mathbf{curl})\widehat{V}_{L,L_0}$ .  $\square$

## 6 Extensions

We deliberately restricted ourselves to a simple setting in order to keep technical complexity at bay. Nevertheless the developments in this article convey all the main ideas needed to tackle other situations:

### 6.1 Higher order moments

It is straightforward but tedious to extend the estimates to the case of  $k$ -fold tensor product operators

$$\mathbf{A}^{(k)} = \underbrace{\mathbf{A} \otimes \dots \otimes \mathbf{A}}_{k \text{ times}}, \quad k > 2.$$

For the Helmholtz operator this case is treated in [15, Sect. 1] and for mixed problems we refer to [4].

### 6.2 Electric field integral equation (EFIE)

In this case we work in the trace space  $V := H^{-\frac{1}{2}}(\text{div}_\Gamma, \Gamma)$  [7, Sect. 2] on a closed orientable polyhedral surface  $\Gamma$  and deal with the non-positive sesquilinear form, see [7, Sect. 7], and [6],

$$\begin{aligned} a(\xi, \eta) &= \int_\Gamma \int_\Gamma \frac{\exp(-ik|x-y|)}{4\pi|x-y|} (\xi(x)\overline{\eta}(y) \\ &\quad - k^{-2} \text{div}_\Gamma \xi(x) \text{div}_\Gamma \overline{\eta}(y)) \, dS(y) dS(x), \quad \xi, \eta \in V, \end{aligned}$$

which is discretized using surface edge elements (also known as Raviart-Thomas boundary elements or RWG elements) [7, Sect. 8].

Parallel to the considerations of Sect. 3 we can consider  $L^2(\Gamma)$ -orthogonal discrete Hodge decompositions [11, Sect. 6] and use them to define 2D analogues of the Fortin projectors  $F_I$ . A key observation from [11, Lemma 2.3] is that the range of the  $H^{-\frac{1}{2}}(\operatorname{div}_\Gamma, \Gamma)$ -counterpart of the projection  $P_X$  will be compactly embedded in the space  $L^2_t(\Gamma)$  of square-integrable tangential vector fields on  $\Gamma$ . In addition [11, Lemma 6.2] provides an approximation result that can replace Lemma 3.1; basically, [11] is about adapting the developments of Sects. 3 and 5.1 to the EFIE. Appealing to these results, all estimates from Sect. 5 essentially remain valid and no new ideas are required. Thus, asymptotic quasi-optimality of sparse tensor Ritz-Galerkin approximation of the tensorized EFIE-operator can be regarded as settled.

### 6.3 Curvilinear polyhedra and higher order edge elements

Also in this case “nil novi sub sole”: Mapping techniques will take care of non-polyhedral domains. Higher order edge elements [10, Sect. 3.4] allow for exactly the same techniques as discussed for the lowest order case. Beware that all constants will depend on the polynomial degree, however.

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